Tri-University Research Administration Conference

Welcome to the Tri-University Research Administration Conference.

Your session will begin shortly. While you wait, please review the following reminders::

Zoom Troubleshooting

Issues with Audio
Verify that your microphone and speakers are set to the appropriate device.

Turn off headset/computer speakers and call in by phone for audio.

General Issues
Log off and log back in using SSO.
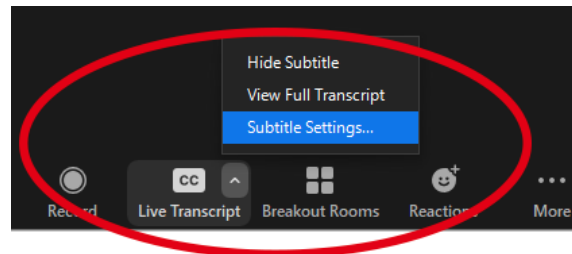
Technical Support
Call 480-965-9065 Ext 1.

About this Session

Recording
Today's session is being recorded and will be made available for later viewing

Closed Captioning
The Closed Caption/Live Transcript feature has been enabled. You can show/hide the CC via your meetings controls located at the bottom of your screen.

We'd Love Your Feedback!

At the end of this session, you will receive a Qualtrics survey link. Please take 2-3 minutes to complete the survey. Your feedback is important for continuous improvement of the Tri-University Research Administration Conference (TURAC).

Land Acknowledgement

The **Arizona State University** (ASU) acknowledges the twenty-three Native Nations that have inhabited this land for centuries. Arizona State University's four campuses are located in the Salt River Valley on ancestral territories of Indigenous peoples, including the Akimel O'odham (Pima) and Pee Posh (Maricopa) Indian Communities, whose care and keeping of these lands allows us to be here today. ASU acknowledges the sovereignty of these nations and seeks to foster an environment of success and possibility for Native American students and patrons. We are advocates for the incorporation of Indigenous knowledge systems and research methodologies.

Northern Arizona University sits at the base of the San Francisco Peaks, on homelands sacred to Native Americans throughout the region. We honor their past, present, and future generations, who have lived here for millennia and will forever call this place home.

We respectfully acknowledge the **University of Arizona** is on the land and territories of Indigenous peoples. Today, Arizona is home to 22 federally recognized tribes, with Tucson being home to the O'odham and the Yaqui. Committed to diversity and inclusion, the University strives to build sustainable relationships with sovereign Native Nations and Indigenous communities through education offerings, partnerships, and community service.

Limited Submissions: Who, What, Where, When, How, and Why

Tri-University
Research
Administration
Conference

Danielle Barefoot, Hayley Bohall, Andy Koppisch, Lidia Macias

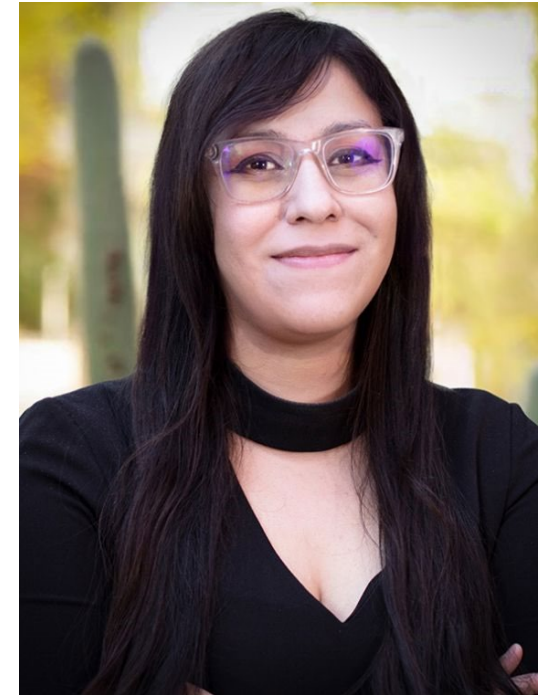**Who?**

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What are Limited Submissions?

Funding opportunities in which the sponsor only allows a specific and limited number of proposals from a given institution.

Sponsor Terminology

Limit on Number of Proposals per Organization:

Two (2) in Track 1, one (1) in Track 2 and one (1) in Track 3 as described below. Potential PIs are advised to contact their sponsored projects office well in advance of the MRI submission window regarding processes used to select proposals for submission.

The MRI program requires that an MRI-eligible organization may, as a performing organization, submit or be included as a significantly funded[2] subawardee in no more than three MRI proposals in Tracks 1 and 2 as defined below, with no more than two (2) submissions in Track 1 and no more than one (1) submission in Track 2. One (1) additional submission is permitted in the newly defined Track 3. *As a result, it is now possible for an institution to submit up to four MRI proposals within the Track limits described above.*

Any MRI proposal may request support for either the acquisition or development of a research instrument or an upgrade of an existing research instrument. Within their submission limit, NSF strongly encourages an organization to submit proposals for innovative development projects.

- Track 1: Track 1 MRI proposals are those that request funds from NSF greater than or equal to $100,000[1] and less than $1,400,000

3. Additional Information on Eligibility

Number of Applications

NIGMS will accept only one application per institution, typically defined by a unique identifier, such as DUNS (https://fedgov.dnb.com/webform/) and an NIH Institution Profile File (IPF) (https://public.era.

https://grants.nih.gov/grants/guide/p

F. Limitation on Number of Concept Papers and Full Applications Eligible for Review

An entity may only submit one Concept Paper and one Full Application for each

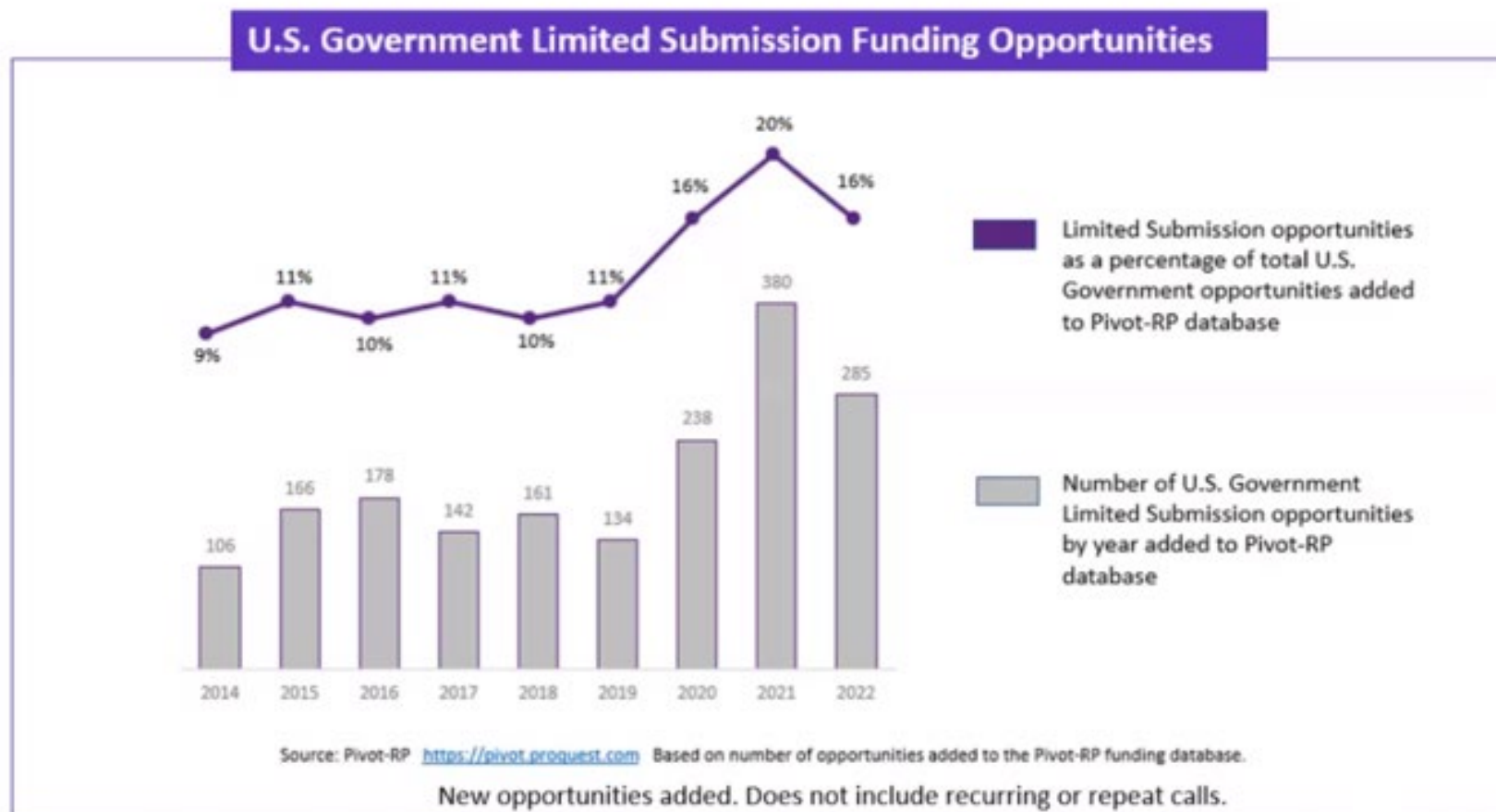**Limit on Number of Proposals per Organization:** 2

An eligible organization may participate in only two (2) proposals per NRT competition as lead or collaborative non-lead. All Track 1 and/or Track 2 NRT proposals will be counted toward this total limit of two proposals per organization.

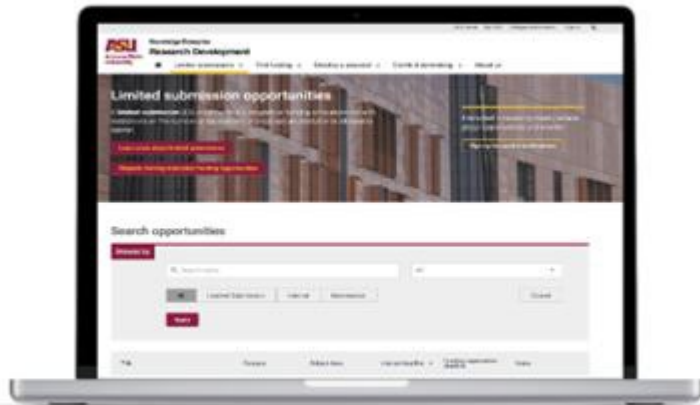**Participation includes serving as a lead organization or non-lead organization on any proposal.** Organizations participating only as evaluators on projects are excluded from this limitation. Proposals that exceed the organizational eligibility limit will be returned without review regardless of whether the organization on such a proposal serves as lead or non-lead collaborative organization. Only US IHEs are eligible to submit as a lead or non-lead organization. Potential PIs are advised to contact their institutional office of research regarding processes used to select proposals for submission.

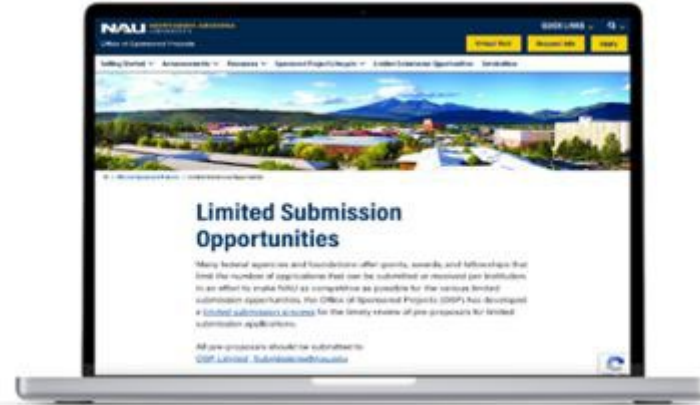# Rise in Limited Submission Opportunities in Pivot-RP

- Roughly double the average number of limited submission in the last 3 years compared to the previous 6 years

- Limited Submissions is now making up 16-20% of all US government opportunities

- In the previous 6 years limited submission made up on average 10.3% of all US government opportunities

- 77% increase Limited Submissions from 2019 to 2020, followed by another 60% increase from 2020 to 2021
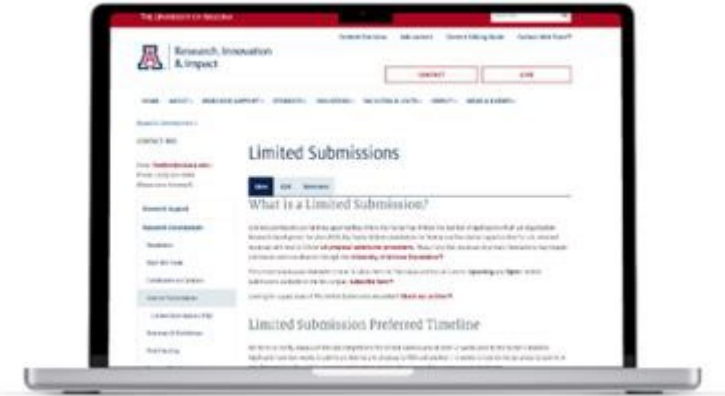

U.S. Government Limited Submission Funding Opportunities

Percentage line: 9%, 11%, 10%, 11%, 10%, 11%, 16%, 20%, 16%

Bar values: 2014: 106, 2015: 166, 2016: 178, 2017: 142, 2018: 161, 2019: 134, 2020: 238, 2021: 380, 2022: 285

Legend:
- Limited Submission opportunities as a percentage of total U.S. Government opportunities added to Pivot-RP database
- Number of U.S. Government Limited Submission opportunities by year added to Pivot-RP database

Source: Pivot-RP https://pivot.proquest.com Based on number of opportunities added to the Pivot-RP funding database.
New opportunities added. Does not include recurring or repeat calls.

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Where to find limited submission opportunities

funding.asu.edu/
search-for-funding

in.nau.edu/osp/
limited-submission-opportunities

research.arizona.edu/developme
nt/limited-submissions

When?

- New Limited Submissions opportunities are posted weekly

- Sign up for the RD Newsletter! https://funding.asu.edu/get-notifications

- List of Limited Submissions posted and updated each month

- Selected opportunities featured in monthly research communication sent to campus PI

- Limited submission review and updated daily.

- Internal competitions and *Open* limited submissions are feature in the weekly *Limited Submissions Newsletter*

How?

Identification/ Publication

Application

- Summary
- Significance
- Fit with Sponsor
- Methodology
- Anticipated Outcomes
- Bio Sketch or CV
- Ancillary items

Review

Notification

Identification/ Publication

Application

- Summary of opportunity
- Methodology
- Bio Sketch or CV
- Previous reviews or communications with Program Officers
- Statement of Facilities

Review

Notification

Identification & Tracking

Internal Review & Coordination

Publication

Application

- Alignment with Sponsor
- Each competition utilized the sponsor's review criteria. NSF, NIH, and other costumed templates are used during each competition.
- Bio Sketch or CV
- Sponsor Reviews (Resubmission)

Review

Notification

Proposal development assistance & submission follow up

Why are Limited Submissions managed at the Institutional level?

- Multiple colleges
- Transparency
- Strategic focus
- Sponsor confirmation

Institutional Timelines

Full Review

- deadlines at least 12 weeks before the sponsor deadline

Rapid Review

- deadlines less 12 weeks before the sponsor deadline

Administrative Review

- deadlines less than 4 weeks before the sponsor deadline

Full Review

- deadlines at least 10 weeks before the sponsor deadline

Accelerated Review

- deadlines less 10 weeks before the sponsor deadline

- Ad hoc administrative review required in cases less than 4 weeks before the sponsor deadline

Full Review - Annual Programs

- Deadlines at least 6 months before the sponsor deadline

Rapid Review

- Deadlines 12 less weeks before the sponsor deadline

Examples of Common LS Opportunities

- NSF Improving Undergraduate STEM Education: Hispanic-Serving Institutions (HSI Program)
- NSF Scholarships in Science, Technology, Engineering and Mathematics (NSF S-STEM)
- NSF National Research Trainee Program (NSF NRT)
- NSF Major Research Instrumentation Program (NSF MRI)
- NIH Science Education Partnership Award (SEPA)
- DOE Funding for Accelerated, Inclusive Research (FAIR)
- USDA Equipment Grant Program (EGP)
- DOE Reaching a New Energy Sciences Workforce (RENEW)
- NEH Summer Stipends
- NEA Grants for Art Projects / Challenge America
- W.M. Keck Research Program
- Sloan Research Fellowship

Best practices

- Review the full solicitation or RFP

- Contact your Limited Submissions office

- Check for updates or timeline adjustments

- Applying early!

- Encourage faculty to be reviewers

Limited Submission Trends

- Short, 4 week timelines for pre-applications

- Typically allows three applications

- Removal of institutional cost share requirement for current MRI solicitation

- New MRI track aimed at helium conservation

- Environmental Justice (EJ) is at the forefront of the Biden Administration's agenda.

- EJ and community engaged research has also been elevated across all federal agencies.

Collaboration

DOE URBAN INTEGRATED FIELD LABORATORIES (IFL)

Limitation: Applicant institutions are limited to *no more than one* letter of intent, pre-application, or application as lead institution.

Institutions, as team members and not leads, may be included on multiple applications

AWARDED!

Southwest Urban Corridor Integrated Field Laboratory (SW-IFL)

- **Arizona State University,** PI Dr. David Sailor

- **Northern Arizona University,** PI Dr. Kevin Gurney

- **University of Arizona,** PI Dr. Ladd Keith

- Other partners including industry, national labs, government agencies, non profits, and community groups.

Case Study

National Science Foundation Research Traineeship (NRT) Program

Limit on Number of Proposals per Organization: 2

An eligible organization may participate in only two (2) proposals per NRT competition as lead or collaborative non-lead. All Track 1 and/or Track 2 NRT proposals will be counted toward this total limit of two proposals per organization

NSF Scholarships in Science, Technology, Engineering, and Mathematics Program (S-STEM)

Limit on Number of Proposals per Organization: 2

An institution may submit up to two proposals (either as a single institution or as a subawardee or a member of an inter-institutional consortia project (lead or co-lead) for a given S-STEM deadline. Multiple proposals from an institution must not overlap with regard to S-STEM eligible disciplines. See Additional Eligibility Information below for more details (see IV. Eligibility Information).

Institutions with a current S-STEM award should wait at least until the end of the third year of execution of their current award before submitting a new S-STEM proposal focused on students pursuing degrees in the same discipline(s)

Case Study

DOE BIL Grid Resilience and Innovation Partnerships (GRIP)
Limit on Number of Proposals per Organization: 6

Applicants may only submit one Concept Paper and one Full Application for each topic area of this funding program.

EPA Environmental Justice Thriving Communities Grantmaking Program (EJTCGP)
Limit on Number of Proposals per Organization: 2

Applicants can submit up to two applications under this RFA so long as each application is separately submitted and is for a different EPA geographic area(s) (e.g., an applicant cannot submit two applications for geographic area 9 - Southwest).